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PATENT Customer No. 22,852 Attorney Docket No. 6720.0070-00

Group Art Unit: 2811

Examiner: NOT YET ASSIGNED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ming-Dou KER et al.

Application No.: 10/062,714

Filed: February 5, 2002

For: SCR DEVICES IN SILICON-ON-

INSULATOR CMOS PROCESS FOR

ON-CHIP ESD PROTECTION

Assistant Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

FINNEGAN HENDERSON ARABOW GARRETT& DUNNER LLP

1300 l Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: May 10, 2002

Reg. No. 40,653

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Atty. Docket No.	06738.0070-00	Serial No.	10/062,714
Applicant	Ming-Dou KER, et al.		
Filing Date	February 5, 2002	Group:	2811

		U.S. PATEN	F DOCUMENTS			
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
TMAY	5,910,874	06/08/1999	Iniewski et al.			
VAMI	5,646,808	07/08/1997	Nakayama			
VAMJ	5,519,242	05/21/1996	Avery			
VAMJ	5,631,793	05/20/1997	Ker et al.			
VAMJ	5,811,857	09/22/1998	Assaderaghi et al.			
VAMJ	5,502,328	03/26/96	Chen et al.			
VAMJ	5,581,104	12/03/96	Lowrey et al.			
VAMS	5,990,520	11/23/99	Noorlag et al.			
		FOREIGN PATE	ENT DOCUMENTS			
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
VAMI	M-D. KER, et al., "CMOS On-Chip ESD Protection Design with Substrate-triggering Technique," Proc. of ICECS, Vol. 1, pp. 273-276, 1998
VAMJ	C. Duvvury et al., "Dynamic Gate Coupling for NMOS for Efficient Output ESD Protection", Proc. of IRPS, pp. 141-150, 1992

Examiner	Vutor A. Manda	Lage	Date Considered	12-13-02
*Examiner:		formance and		ormance with MPEP 609; draw line ude copy of this form with next
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Atty. Docket No	o. 1149 20.0070-00	Serial No.	· ·	10/062,714	
Applicant	Ming-Dou KER, et al.				
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VAMJ	5,907,462	05/25/99	Chatterjee et al.	_			
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VAMJ	N. K. Verghese and D. Allstot, "Verification of RF and Mixed-Signed Integrated Circuits for Substrate Coupling Effects", in <i>Proc. of IEEE Custom Integrated Circuits Conf.</i> , 1997, pp. 363-370
VAMJ	M.Xu, D. Su, D. Shaeffer, T.Lee, and B. Wooley, "Measuring and Modeling the Effects of Substrate Noise on LNA for a CMOS GPS Receiver, " <i>IEEE Journal of Solid-State Circuits</i> , vol. 36, pp. 473-485, 2001.
VAMJ	R. Gharpurey, "A Methodology for Measurement and Characterization of Substrate Noise in High Frequency Circuits," in <i>Proc. of IEEE Custom Integrated Circuits Conf.</i> , 1999, pp. 487-490.
VAMI	M. Nagata, J. Nagai, K. Hijikata, T. Morie, and A. Iwata, "PhysicalDesign Guides for Substate Noise Reduction in CMOS Digital Circuits, "IEEE Journal of Solid-State Circuits, vol. 36, pp. 539-549, 2001.
VAMJ	MD. Ker, T-Y, Chen, C-Y. Wu, and HH. Chang, "ESD Protection Design on Analog Pin WIth Very Low Input Capacitance for High-Frequency or Current-Mode Applications, "IEEE Journal of Solid-State Circuits, vol. 35, pp. 1194-1199, 2000.
VAMJ	MD. Ker, "Whole-Chip ESD Protection Design with Efficient VDD-to-VSS ESD Clamp Circuit for Submicron CMOS VLSI, "IEEE Trans. on Electron Devices, vol. 46, pp. 173-183, 1999

Examiner	worth. Mandalage Date Considered 12-13-02
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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Atty. Docket N	o. 00120.0070-00	Serial No.	10/062,714	
Applicant	Ming-Dou KER, et al.			
Filing Date	February 5, 2002	Group:	2811	

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VAMJ	6,081,002	06/27/2000	Amerasekera et al		£	•	
VAMJ	5,754,381	05/19/1998	Ker				
VAMJ	5,465,189	11/07/1995	Polgreen et al.	,,,			
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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
VAMJ	C. Richier, P. Salome, G. Mabboux, I. Zaza, A. Juge, and P. Mortini, "Investigation on Different ESD Protection Strategies Devoted to 3.3V RF Applications (2 (GHz) in a 0.18um CMOS Process, "in Proc. of EOS/ESD Symp., 200, pp. 251-259.			
VAMJ	TY. Chen and MD. Ker, "Design on ESD Protection Circuit With Low and Constant Input Capacitance," in Proc. of IEEE Int. Symp. on Quality Electronic Design, 2001, pp. 247-247.			
VAMJ	MD. Ker, TY. Chen, CY. Wu, and HH. Chang, "ESD Protection Design on Analog Pin WIth Very Low Input Capacitance for RF or Current-Mode Applications, "IEEE Journal of Solid-State Circuits, Vol. 35, pp. 1194-1199, 2000.			
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				
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Atty. Docket	067200070-00	Serial No.	10/062,714	
Applicant	Ming-Dou KER, et al.			
Filing Date	February 5, 2002	Group:	2811	

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VAMS	5,629,544	05/13/97	Voldman et al.			
VAMJ	6,034,397	03/07/00	Voldman			
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VAMI	5,719,737	02/17/98	Maloney			****
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VAMS	S.Voldman, et al., "Semiconductor Process and Structural Optimization of Shallow Trench Isolation-Defined and Polysilicon- Bound Source/Drain Diodes for ESD Networks," in Proc. of EOS/ESD Symp., 1998, pp. 151-160				
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VAMI	M.J. Pelgrom, et al., "A 3/5 V Compatible I/O Buffer," IEEE Journal of Solid-State Circuits, vol.30, no. 7, pp.823-825, July 1995.				
VAMI	G.P. Singh, et al., "High-Voltage-Tolerant I/OBuffers with Low-Voltage CMOS Process," IEEE Journal of Solid-State Circuits, vol.34, no. 11, pp. 1512-1525, Nov. 1999.				
VAMI	H. Sanchez, et al., "A Versatile 3.3/2.5/1.8-V CMOS I/O Driver Built in 02µm, 3.5-nm Tox, 1.8 -V CMOS Technology, "IEEE Journal of Solid-State Circuits, vol.34 no. 11.pp. 1501-1511, Nov. 1999				

Examiner U	Joz A. Mandale	Date Considered	12-13-02			
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